

October 2015

US-Ireland R&D Partnership Programme Dr. Aisling McEvoy

About SFI



Founded in 2000

Officially established in 2003

As at 31 December 2013
732 Active Awards
with future commitments totaling
€460m

€2.2bn Commitment

by SFI since 2001 in

< **4,000** awards





Department of Jobs, Enterprise & Innovation



Building Irish Academic Base



Supporting Indigenous Irish Companies



Supporting Multinational Companies in Ireland

SFI Agenda 2020 Excellence and Impact





To be the Best science funding agency in the world at creating impact from excellent research and demonstrating clear value for money invested

To be the exemplar in building partnerships that fund excellent science and drive it out into the market and society



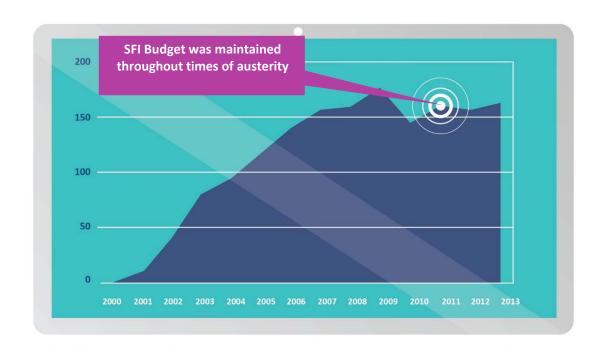
To have the most engaged and scientifically informed public

To represent the ideal modern public service organisation, staffed in a lean and flexible manner, with efficient and effective management.



Representation of funding since establishment





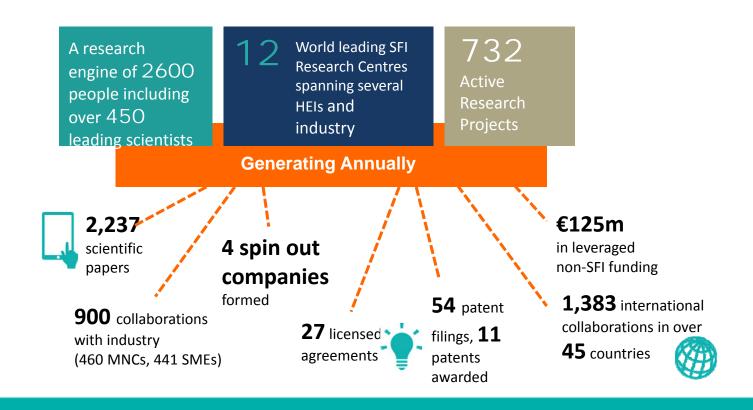
What SFI actually does...



- Makes grants to Higher Education Institutes (HEIs) in Ireland
- Based on competitive, international merit review for scientific excellence and impact
- Trains people
- Builds infrastructure
- Produces scientific results and technology
- Significant industrial linkages attracting, anchoring and starting companies
- Leverages other research funding e.g. Industrial / EU / Charitable / Philanthropic / International
 - O People and technology transfer to Industry and Society
 - o Industry more competitive, better public services
 - o Higher value products/services
 - Higher living standards



What SFI delivers -



SFI/Industry Collaborations



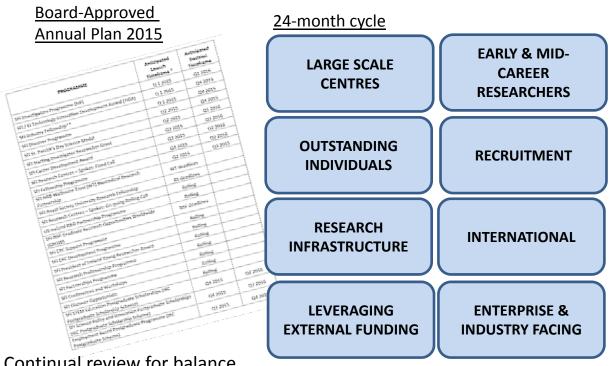
There are currently 900 + collaborations attributed to active SFI research awards - (460 MNCs, 441 SMEs)



~25 SFI Funding Programmes

(including ~9 partnerships)





Continual review for balance
Constantly look for gaps & rationalisation

SFI Programmes for Industry



Industry Fellowship

- Funds salary, travel and subsistence of an academic researcher to spend up to one year full time or two years part time in industry, anywhere in the world
- Funds travel and subsistence of Industry personnel to spend up to one year full time or two years part time in academia in Ireland
- No limit on the number of Fellows in any company
- No requirement for academic Fellow to return to the Research Body
- Up to max of €120k per Fellowship

SFI Partnerships

- Flexible Mechanism to support ambitious research projects of scale between industry and academia
- SFI matches the investment by industry
- (i) Competitive Joint Funding Partnership Programme: SFI-Industry co-funded, competitive call to research community for proposals
- (ii) Strategic Partnerships: proposal submitted to SFI jointly by academic researcher and company following an EoI stage

SFI Programmes for Industry



SFI Research Centres

- World leading, large scale Centres with major economic impact for Ireland
- Funding of between €1m and €5m per year in direct costs over six years
- SFI funds up to 70%. Minimum 30% industry investment at least one third of which must be cash
- Twelve Research Centres already funded representing €335m
 Exchequer funding and €190m industry investment by over 200 partners

SFI Research Centres Spokes

- Opportunity to become a new Industry or Academic Partner of an existing Centre
- Allows existing Centres to grow and evolve
- Fixed call: competitive assessment, 30% industry contribution
- Rolling call: proposals assessed on own merits, 50% industry cash contribution
- Minimum project size €400k, no maximum award size



US-IRELAND R&D PARTNERSHIP PROGRAMME

SFI Agenda 2020 **Excellence and Impact**





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A Unique Initiative of Cooperative Research Across Three Jurisdictions





US-Ireland R&D Partnership - Equality, partnership and mutual respect

Aims

- Increase level of collaborative R&D by linking scientists and engineers in partnerships across the three jurisdictions
- To address crucial technology, environmental and healthcare research questions
- That will
 - generate valuable discoveries and innovations, transferrable to the marketplace;
 - lead to enhancements in health, disease prevention and healthcare;
 - expand educational and career opportunities in science and engineering.















Guiding Principles



Research Excellence, Leveraged Funding & Science

- Significant participation by researchers from each jurisdiction in each project:
 - > SINGLE TRIJURISDICTIONAL PROPOSAL
- Single, competitive peer review of proposals:
 - > FACILITATED BY NIH/NSF
- Each jurisdiction supports its own research costs.
 - > SFI/HRB in RoI, DEL/HSC R&D in NI and NSF/NIH in US















Themes



- Nanoscale Science & Engineering
- Sensors & Sensor Networks
- > Telecommunications
- Energy & Sustainability
- Health (initially Cystic Fibrosis & Diabetes)
- Agriculture (pilot DAFM)



Investigator-led

Application process

- Each proposal must have a minimum of one Principal Investigator from each jurisdiction
- Joint "tri-partite" proposal is submitted to NSF/NIH from the U.S. Pl's home institution.
- ➤ BEFORE the proposal is submitted to the NSF/NIH it must be submitted to the Rol and NI funding agencies *no later than* 6 weeks in advance of the NSF/NIH deadline for assessment in advance of preapproval
- Additional pre-approval step required for proposals to be submitted to the NIH – 12 weeks in advance of proposal submission

SFI Role in Review Process



- Pre-approval of Rol budget
- Ensures that proposal is within remit
- Ensures that the Rol applicant is eligible
- Ensures that there is significant contribution from the Rol investigator
- Acts as a gateway to the HRB for relevant patient-oriented health-related proposals
- If supportive, SFI writes a funding commitment letter outlining budget approval, subject to NSF/NIH approval letter to be included in the full proposal application to NIH/NSF
- NI agencies carry out same process in parallel
- Informs the US agency about the application and sends the funding commitment letter to them

NSF/NIH role in review process



- Once pre-approved by the Rol and NI agencies, the Irish agencies submit a Funding Commitment Letter which is included in the full proposal submission to NSF/NIH
- Proposals are submitted via the US host institution and evaluated in accordance with the standard NSF merit review criteria of intellectual merit and broader impacts of the proposed effort.
- Review will be conducted using the NSF merit review process, including conflict of interests and confidentiality policies.
- Inform US-Ireland partner agencies IF the application is to be funded and send the reviews/summary statement does not happen if the application is not successful

Budget available



- Rol applicants can apply to SFI for direct costs of up to €350k for a 3-5 year duration. In addition to the direct costs, SFI also makes an overhead contribution to the host research body.
- > SFI eligible costs are: Staff, materials and consumables, equipment and travel.
- NI applicants can apply to DELNI/Invest NI for total costs of up to £320k
- US applicant budget subject to NSF/NIH conditions

US-Ireland Planning Grant



- Travel grants are available from SFI to develop the tri-partite proposal with US and NI partners
- Not a pre-requisite for submitting a full proposal to facilitate development of the tri-jurisdictional proposal
- ➤ Budget available up to €5,000 direct costs

Review Process



Draft Proposal Written – support provided through US-IRL Planning Grant Rol partner seeks pre - approval from SFI and/or HRB 6 weeks in advance of NSF/NIH deadline US Partner submits final proposal to NSF or NIH incl funding commitment letters from NI and ROI agencies

for Health/NIH submission – 12 weeks in advance of deadline

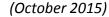
Pre-approval step

NI, Rol and US Partners Identified

Success of the partnership programme



- Twenty five successful projects
 - Sensors (5), Nanotechnology (8), Health (4), Telecommunications (2) Energy & Sustainability (6)
- Investment across 3 jurisdictions: approx. \$40m
- 90 investigators from
 - 2 Northern Ireland universities, 8 universities and institutes in Ireland and 28 U.S. institutions
- Training (NI and Rol)
 - 60 Postdocs, 57 PhD and MSc students, 11 Research assistants/technical posts
- Two Centre partnerships to be funded: Photonics/telecoms and Pharma



















Centre-to-Centre mechanism

Software **Nanotechnology MEDICAL DEVICES**

Applied Geosciences Digital Content

Industry commitment of €190 million from SFI

RESEARCH Nano Materials

Functional Foods

FOOD FOR HEALTH

SFI Research Centres



























Memorandum of Understanding



- Updated and signed in late September 2014
- Three agencies involved: NSF, DEL NI and SFI
- Four thematic areas: Nano, Sensors, Energy & Sustainability, and Telecoms
- Updated MoU refers to Centre-to-Centre collaborations

Centre-to-Centre mechanism



- To date, the programme has been investigator-led
- New mechanism under development to link Centres in the three jurisdictions
- Networking session with the NSF-funded Engineering Research Centres to discover potential synergies
- Two Centre-to-Centre partnerships were reviewed this year – positive outcomes

Current mechanism



- Intention to apply submit a 2-page Eol to their funding agency which is assessed by the partner agencies
- Tri-jurisdictional centres proposal is developed and submitted to the NSF 5 weeks in advance of the ERC annual site visit together with the ERC's annual report
- Proposal is reviewed by the site visit panel and the review is shared with DEL NI and SFI to aid a joint funding decision.



Other mechanisms for interaction

NSF GROW



- Graduate Research Opportunities Worldwide enables NSF Graduate Research
 Fellows to gain international research experience and establish collaborations
 with counterparts at research institutions in partner countries around the world.
- NSF funds the student scholarships and travel expenses, and the host funds the additional living expenses.
- Ireland is now a partner
- Visits of between three and twelve months will be supported. SFI will provide support for the visiting Fellow's additional living expenses through the Irish host institution.
- Four awardees to be located at Curam, AMBER, SSPC and Prof Caffrey (TCD)
- For further information, please see:

http://www.sfi.ie/funding/funding-calls/open-calls/sfi/grow-research-in-ireland.html or contact GROW@sfi.ie





- US-Ireland R&D Partnership programme is open for business:
 - Investigator-led programme
 - Centre-to-Centre mechanism
- Future queries <u>usireland@sfi.ie</u>
- NSF GROW programme is a mechanism for seeding a collaboration